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Original Article

Influence of Nairobi's Biophysical Characteristics on its Vulnerability to a Changing Climate

Sunday Julius Abuje^{1*}, Prof. Bernard Moirongo Otoki¹ & Dr. Bernard Mugwima Njuguna¹

¹ Jomo Kenyatta University of Agriculture and Technology, P. O. Box 62000 – 00200 Nairobi, Kenya.

*Author for Correspondence email: sunabuje@sabs.jkuat.ac.ke

* ORCID: https://orcid.org/0000-0002-7601-827X

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Vulnerability, Climate Change, Biophysical, Nairobi, Adaptation. Urban areas experience exacerbated impacts of the regional climate variability because of their form characteristics such as imperviousness of surfaces, building density and distribution of open spaces. These are further confounded by geographical aspects such as topography, soil types, and vegetation types. Nairobi city is increasingly exposed to flood and heat risk as an aggregation of its urban form and the changing global climate. The paper sought to establish the influence of Nairobi's biophysical characteristics on its vulnerability to both flooding and heat risks. The paper used a descriptive research design augmented with Geographic Information Systems to spatially model the landcover, soil drainage, topography, green space networks, and population density characteristics at the sub-location level. Vulnerability indices were developed using the expert ranking system and used to determine the vulnerability of the different sub-locations. The findings revealed a vulnerability pattern close to the historically segregated planning of the city. The central and eastern parts of the city exhibit high vulnerability while the western, northwestern, and southern parts of the city display moderate to low vulnerability. The paper recommends that adapting existing neighbourhoods and proactive planning of new neighbourhoods uses the ecosystem-based approach. This to entail decentralization of smaller green spaces, redesign of road medians for water management, re-specification of street vegetation species to incorporate a mix of deciduous and evergreen trees and incorporating eco-roofs and walls in highdensity developments like the Central Business District.

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INTRODUCTION

Global Climate and Biophysical Characteristics of Urban Areas

Over 60% of the world's population is expected to be living in urban areas by the year 2050 (United Nations Department of Economic and Social Affairs, 2018). Similarly, the United Nations Framework Convention on Climate Change (UNFCCC) (2011) and World Meteorological Organization (WMO) (2019) projects that the impacts of climate change will be exacerbated the world over. As a result, the urban population is likely to experience confounded vulnerability. First, because of the increased incidences of climate-related hazards that affect the global environment (Thomas & López, 2015). Second, from the urban biophysical characteristics that influence how climatic parameters interact with urban locales and inhabitants (Oke, Mills, Christen, & Voogt, 2017).

The interaction between climatic factors and urban characteristics determines vulnerability at the urban level. Vulnerability in this context is the degree to which a system is susceptible to and unable to cope with adverse effects of climate change, including climate variability and extremes (Perry, Canziani, Palutikof, Linden, & Hanson, 2007). Its main determinants are exposure, sensitivity, and adaptive capacity (Intergovernmental Panel on Climate Change (IPCC), 2014; Krellenberg, Welz, Link, & Barth, 2017; Satapathy et al., 2014).

Exposure is the presence of people; livelihoods; environmental services and resources: infrastructure; or economic, social, or cultural assets in places that could be adversely affected (Field, Barros, Stocker, & Dahe, 2012; Fritzsche et al., 2014). Sensitivity is the degree to which a system is affected directly or indirectly, either adversely or beneficially, by climate-related stimuli (McCarthy, Canziani, Leary, Dokken, & White, 2001). Adaptive capacity is the ability of a system to adjust to climate change, moderate potential impacts through the combination of the strengths, attributes, and resources available to an individual, community, society, or organization (Perry et al., 2007). The confluence of these determinants defined by equation 1 developed from Satapathy et al. (2014) shows their contribution to vulnerability. Exposure in this paper applies to climatic parameters, while sensitivity encompasses aspects of the biophysical characterization of the city.

Where V: Vulnerability index; wS: Weighted indicators for climate change sensitivity; wE: Weighted indicators for climate exposure; wA: Weighted indicators for adaptive capacity,

Vulnerability assessment has been increasingly discussed in various aspects. However, the

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dominant approach within the context of climate change often looks at the biophysical and socioeconomic characteristics within the confines of exposure, sensitivity, and adaptive capacity. Several factors have been developed to aid in vulnerability assessment. The key biophysical factors of urban areas that influence how it interacts with climate and by extension vulnerability include soil hydro-lithology (Mentzafou, Markogianni, & Dimitriou, 2018; Skilodimou, Bathrellos, Chousianitis, Youssef, & Pradhan, 2019), land use/ land cover: the ratio of built-up to open spaces (Dou et al., 2018; Gigović, Pamučar, Bajić, & Drobnjak, 2017) and topography (Doyle, Sullivan, Mahtta, & Pandey, 2017) and dwelling types (Table 1 and 2). For instance, soil and topography influence runoff, affecting vulnerability to floods. Dwelling types and density of built-up areas affect surface temperatures, influencing heat stress.

Flood Risk Factors	Indicators	Authors				
Elevation	Height relative to the lowest point in the area	Ouma & Tateishi, 2014; Chen, Ito, Sawamukai, & Tokunaga, 2015; Bathrellos, Karymbalis, Skilodimou, Gaki- Papanastassiou, & Baltas, 2016; Gigovich, Pamvear, Bajic, & Drobnjak, 2017; Dou et al., 2017 and Skilodimou, Bathrellos, Chousianitis, Youssef, & Pradhan, 2019.				
Slope	(L/H)*100	(Gigovich, Pamvear, Bajic, & Drobnjak, 2017) (Bathrellos,				
	L: Horizontal distance	Karymbalis, Skilodimou, Gaki-Papanastassiou, & Baltas, 2016) (Ouma & Tateishi, 2014) (Stefanidis & Stathis, 2013) (Dou, et al., 2017) (Doyle, Sullivan, Mahtta, & Pandey, 2017)				
	H: Vertical change in height	(Skilodimou, Bathrellos, Chousianitis, Youssef, & Pradhan, 2019) (Mentzafou, Markgianni, & Dimitriou, 2017)				
Land Cover/Use	The type (permeability and roughness of land cover)	(Gigovich, Pamvear, Bajic, & Drobnjak, 2017) (Bathrellos, Karymbalis, Skilodimou, Gaki-Papanastassiou, & Baltas, 2016) (Ouma & Tateishi, 2014) (Elkhrachy, 2015) (Stefanidis & Stathis, 2013) (Dou, et al., 2017) (Skilodimou, Bathrellos, Chousianitis, Youssef, & Pradhan, 2019) (Mentzafou, Markgianni, & Dimitriou, 2017)				
Hydro- lithology (Soil and Geology)	Geological permeability (Classified as permeable; semi- permeable and impermeable)	(Bathrellos, Karymbalis, Skilodimou, Gaki-Papanastassiou, & Baltas, 2016) (Ouma & Tateishi, 2014) (Elkhrachy, 2015) (Stefanidis & Stathis, 2013) (Dou, et al., 2017) (Dou, et al., 2017) (Chen, Ito, Sawamukai, & Tokunaga, 2015) (Skilodimou, Bathrellos, Chousianitis, Youssef, & Pradhan, 2019) (Mentzafou, Markgianni, & Dimitriou, 2017)				
Rainfall Intensity		(Dou, et al., 2017) (Chen, Ito, Sawamukai, & Tokunaga, 2015) (Mentzafou, Markgianni, & Dimitriou, 2017)				
Flow Accumulation		(Dou, et al., 2017) (Mentzafou, Markgianni, & Dimitriou, 2017)				

Table 1: Biophysical factors and indicators of flood vulnerability

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Heat Risk Factors	Indicators	Authors
Temperature	Land Surface Temperature Air Temperature No. of Hot Days (above a certain value) Daily Maximum	(Inostroza, Palme, & de la Barrera, 2016) (Bao, Li, & Yu, 2015) (Romero-Lankao, Qin, & Dickinson, 2012) (Weber, Sadoff, Zell, & de Sherbinin, 2015) (Wolf & McGregor, 2013) (Swart, et al., 2012) (Mendez-Lazaro, Muller-Karger, Otis, McCarthy, & Rodriguez, 2017) (Mendez-Lazaro, Muller-Karger, Otis, McCarthy, & Rodriguez, 2017) (Janicke, et al., 2018) (Dong, et al., 2014) (Macintyre, et al., 2018) (Apreda, D'Ambrosio, & Di Martino, 2019)
Dwelling type	Daily Minimum Buildings Materials (Roof and walls)	(Inostroza, Palme, & de la Barrera, 2016) (Bao, Li, & Yu, 2015) (Romero-Lankao, Qin, & Dickinson, 2012) (Nayak, et al., 2018) (Wolf & McGregor, 2013) (Stangl, 2018) (Macintyre, et al., 2018)
Normalized Differential Vegetation Index (NDVI)	Plantings/Vegetation Parks Street Trees	(Inostroza, Palme, & de la Barrera, 2016) (Pincetl, Chester, & Eisenman, 2016) (Weber, Sadoff, Zell, & de Sherbinin, 2015) (Swart, et al., 2012) (Barron, Ruggieri, & Branas, 2018) (Stangl, 2018) (Apreda, D'Ambrosio, & Di Martino, 2019)
Urban Morphology/ LU/LC	% of LU/LC	(Pincetl, Chester, & Eisenman, 2016) (Wilhelmi & Hayden, 2010) (Reid, et al., 2009) (Romero-Lankao, Qin, & Dickinson, 2012) (Nayak, et al., 2018) (Wolf & McGregor, 2013) (Wolf & McGregor, 2013) (Mendez-Lazaro, Muller- Karger, Otis, McCarthy, & Rodriguez, 2017) (Stangl, 2018) (Savic, et al., 2018)
Population Density	% population per Km ²	(Bao, Li, & Yu, 2015) (Romero-Lankao, Qin, & Dickinson, 2012) (Nayak, et al., 2018) (Wolf & McGregor, 2013) (Swart, et al., 2012) (Gamble, et al., 2018) (Dong, et al., 2014)

Table 2: Biophysical factors and indicators of heat vulnerability

NAIROBI CITY'S CLIMATE AND BIOPHYSICAL CHARACTER

This paper is based on Nairobi as defined by the city-county boundary comprising the 112 sublocations as at the 2008 housing and population census (*Figure 1*). Nairobi referred to as a citycounty since the entire city is a county. It extends between 36° 4' and 37° 10' East and between 1° 9' and 1° 28' south. The city has a population of about 4.7 million people within an area of about 695 km² as per the 2019 Kenya National Bureau of Statistics (KNBS, 2019). The city has 112 sub-locations with varying population densities. High-income sub-locations have average densities as low as 500 people/km² while low-income locations such as those in the informal settlements have densities as high as 63 000 people/km².

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Figure 1: Nairobi city-county boundary showing sub-locations



Nairobi has an undulating topography with an elevation range of 1,460 m - 1,920 m above sea level. The lowest elevation occurs at Athi River at the eastern boundary of the city and highest at the western rim of the city. Average annual rainfall in Nairobi is about 900 mm with peaks in April and November (*Figure 2*). The mean daily maximum temperature by month ranges from 28 °C to 22 °C and the minimum ranges from 14 °C to 12 °C (Japan International Cooperation Agency (JICA), 2004). Nairobi is underlain by tertiary volcanic rocks and the soil types are the grey clays, black clays, red clays, reddish-brown clays and brown clays with varying soil drainage properties (University of Nairobi, 2018).



Source: (http://www.nairobi.climatemps.com/graph.php)

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METHOD

Procedure for Data collection and analysis

The paper used a descriptive approach and modelling of the parameters in ArcMap 10.6. The modelling developed thematic maps of the biophysical parameters. Heat and flood vulnerability mapping followed this. Vulnerability mapping was based on an aggregation of the experts' ranking of parameters. The ranking determined their contribution to vulnerability within the classes of sensitivity and exposure. The census data further aided in developing population density maps. Even though population density is a socio-economic parameter, it was selected to represent density data since the landcover images only show the 2-dimensional density.

The biophysical data comprised Landsat images, Digital Elevation Models (DEMs) and Soil data. The topography, flow accumulation and landcover were modelled from Landsat and DEMs. Kenya Agriculture and Livestock Research Organization (KALRO) and Survey of Kenya (SoK) supplied soil data. Kenya Meteorological Department (KMD) and the IGAD Climate Prediction and Applications Center (ICPAC) supplied the climatic data.

The landcover data underwent pre-processing and post-image processing to enhance the output of the images in terms of quality by correcting any image distortions. The satellite imagery was geometrically corrected and projected to ARC 1960 Universal Traverse Mercator (UTM) 37 S; a recognized projected co-ordinate system in Kenya. A pixelbased hybrid classification method was adopted. It supervised and unsupervised combined classification methods. The supervised method was adopted for its effectiveness and accuracy, while the unsupervised method was used to plug the gaps and minimize errors in the supervised method. The resultant images were then merged using the reclassify tool in ArcMap 10.6. The resultant landcover classes were built-up areas, forest, bare lands, grasslands, water bodies (Table 3)

Table 3:	The la	andcover	classes.	their	descriptions	and	used	colour	codes
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LAND COVER	DESCRIPTION	COLOUR CODE
BUILT-UP	Urban fabric: temporary and permanent houses, artificial	Red
	infrastructure including paved streets	
FOREST	Areas with trees	Green
BARE LANDS	Exposed soils, landfill sites, and areas of active excavation	Brown
GRASSLANDS	Shrublands and grasslands	Yellow
WATERBODY	River, permanent open water, perennial lakes, reservoirs, ponds	Blue

The population density data was modelled from boundary and population data of the 2008 population and housing census. The land area computation was derived from the acreage of the sub-locations; generated in ArcMap 10.6 based on the sub-location shapefile. These outputs were used to determine the population density in MS Excel (Equation 2).

The soil data parameter used was soil drainage properties. These were classified by International Soil Reference and Information Center (ISRIC) as excessively drained, somewhat excessively welldrained, moderately well-drained, imperfectly drained, poorly drained, very poorly drained and a non-classified section. The non-classified section is the built-up area. A thematic map based on the classifications found in Nairobi was developed.

Terrain data was modelled from the 2 m spatial resolution DEM using ArcMap 10.6. The DEM that was projected to Arc 1960 UTM zone 37S. The output was used to create a slope raster file. The slope classifications were 0% - 4%, 4% - 8%, 8% - 12%, 12% - 16% and 16% - 100%. The selection of the classifications was based on studies by Nassif & Wilson (1975) and Huang, Kang, Yang, & Jin (2017) who note those slopes as the ones that impact stormwater runoff. The terrain dataset was further used to develop Flow Accumulation Maps.

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Relying on the DEM, the spatial analyst tool in ArcMap was used to generate fill raster image which was then used to calculate the flow direction and develop the flow accumulation map.

The values of the parameters were normalized (eq. iii) as recommended by Swanson, Hiley, & Venema (2007), Elmoustafa (2012) and Inostroza, Palme, & de la Barrera (2016). This is to eliminate any misinterpretation that could result from the different units of measurements used. The normalized data were used to generate thematic vulnerability maps.

$$X_{nor} = \frac{X - X_{min}}{X_{max} - X_{min}} \dots [3]$$

Where $X_{nor.}$ - The normalized X; X -The values $X_{1-}X_{n;} X_{min}$ - The lowest value of X; X_{max} - The highest value of X

A vulnerability index was developed using the statistical mean. Vulnerability ranking used a fourlevel scale of Very High Vulnerability (VHV), High Vulnerability (HV), Moderate Vulnerability (MV) and Low Vulnerability (LV). From the vulnerability maps of the different parameters, the overall vulnerability of the county to heat and flood risks was computed. It was based on the expert rankings of the contributions of the unique aspects of heat and flood risks. The ranking was based on the Likert type scale (*Figure 3*). The paper considered 12 experts in the fields of architecture, urban planning, landscape architecture and hydrology.

Figure 3: Likert Scale for expert ranking of biophysical parameters



Figure 4: Analytical framework



RESULTS

Land use/Landcover

Dominant land covers in the city are grassland at 244 km² and built-up area at 228 Km² while the least land cover is a water body at 4 km² (*Figure 5*). The built-up area encompasses buildings and paved surfaces such as roads. It is dominant in the central zone of the city, reducing towards the periphery. This translates to a Very High Vulnerability (VHV) to flooding and heat stress in the central zone (*Figure 6*).

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Figure 5: Nairobi's 2018 land cover classification

Figure 6: Nairobi city's flood and heat stress vulnerability map-based land cover



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Population Density

The densest sub-locations with normalized densities above 0.6 include Lindi, Laini Saba, Kibra, Gatwikira, Korogocho, Mathare 4A and Uhuru (*Figure 7*). They host some of the largest

informal settlements in the city like Kibra, Mukuru Kwa Njenga, Mathare, and Korogocho. The high population density implies a large population at risk to both flood and heat stress. As a result, the same sub-locations show VHV (*Figure 8*).

Figure 7: Nairobi city's normalized population density in 2018



Figure 8: Nairobi city's flood and heat stress vulnerability map based on population density



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Soil Drainage Properties

The Soil Mapping of Kenya by ISRIC lists soil drainage properties within Nairobi into 5 classes. They are Well-drained, Imperfectly drained, Poorly drained, Very poorly drained and a non-classified section (Fig. 10). The unclassified, poorly and very

poorly drained soils are concentrated along a central horizontal belt. The unclassified zone is a zone of dense development. It is therefore classified among the poorly drained soils due to its imperviousness. This translates into VHV at the centre of the city and LV to the north and west of the city (Fig. 11).

Figure 9: Nairobi city's soil drainage properties





Figure 10: Nairobi city's flood vulnerability map based on soil drainage properties



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Topography

Majority of the slopes on the eastern side of the city fall below 8% and the western side above 16%. This





Figure 12: Nairobi city's vulnerability to flooding risk based on slope.



translates to an eastern side concentrated MV (*Figure 11*). 19% of the sub-locations manifest MV

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Flow Accumulation

The flow accumulation considered the flow accumulation model and natural drainage patterns (*Figure 13*). Those with high flow accumulation

Figure 13: Nairobi's flow accumulation map

connections but no natural drainage pattens were ranked as being moderately vulnerable. MV was selected to account for possible artificial drainage. The MV is concentrated on the central and northern zones of the city (*Figure 14*).



Figure 14: Nairobi's vulnerability to flooding risk based on flow accumulation mapping



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Green Space Network

The green space network considered two components: open space patterns and the Normalized Differential Vegetation Index (NDVI).

Figure 15: Nairobi's open spaces

The open space patterns were mapped by the UN-Habitat (*Figure 15*). The central part of the city reveals VHV to HV while the peripheral zones of the city reveal LV (*Figure 16*).



Source (UN-Habitat)





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The NDVI model for the year 2018 manifests a western and northwestern concentration of vegetation whose reflective index shows tree cover of good health in the dry season; which is the period Landsat images extracted (*Figure 17*). Based on

this model, the central and eastern zones, and the northern tip of the city display HV compared to the western, northwestern, and southern zones (*Figure 18*).



Figure 17: Nairobi's NDVI mapping in the dry month of July

Figure 18: Nairobi's vulnerability to flood and heat stress based on NDVI



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The experts ranked the magnitude of the different parameters in contributing to flood and heat stress vulnerability on the 1-7 Likert scale (Table 4). They ranked in descending order as flow accumulation, land cover, slope and soil drainage properties. Parameters of heat stress in descending order were land cover and NDVI.

	Ex	Expert Ranking											Mean
Parameters	Α	В	С	D	Ε	F	G	Η	Ι	J	K	L	
Flood Vulnerability													
Slope/Topography	5	5	5	5	5	6	7	5	5	6	6	7	5.58
Land cover	6	7	7	4	6	6	7	6	4	7	7	7	6.17
Flow Accumulation	7	6	7	5	7	5	7	7	5	6	6	6	6.17
Soil drainage properties	5	4	6	5	4	6	7	4	5	6	6	6	5.33
Population density	4	5	2	5	3	5	4	3	5	2	2	5	3.75
Heat Stress Vulnerability													
Open space network	7	6	4	4	3	6	6	3	4	6	6	6	5.08
Land cover	6	5	6	4	5	6	5	5	6	6	6	6	5.50
Population Density		5	4	2	4	6	5	4	4	1	3	4	4.08

Table 4: Expert ranking of different biophysical parameters of Vulnerability in Nairobi City

Flood vulnerability summary statistics are 40 VHV, 27 HV, 34 MV and 11 LV sub-locations. Spatially, the VH and HV sub-locations are concentrated in

the central zone with a ring of MV zone around it. The LV zones ate to the northern and western sides (*Figure 19*).





Heat stress vulnerability summary statistics are 27 VHV, 37 HV 28 MV and 20 LV sub-locations (*Figure 20*). The MV and LV sublocations are concentrated on the western and northern parts of the city. The H and VHV sub-locations are in the central and northern zones of the Nairobi. Overall

vulnerability summary statistics are 39 VHV, 39 HV, 24 MV and 10 LV sub-locations (*Figure 21*). The VHV is distributed in the central and northern tip of the city. LV area is concentrated in the northern and western side of Nairobi.

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Figure 20: Nairobi City County sub-location heat vulnerability map based on biophysical characteristics



Figure 21: Nairobi's overall vulnerability to a changing climate based on its biophysical characteristics.



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DISCUSSION

The sub-locations show various levels of vulnerability to the individual biophysical parameters. However, zonal segregation of the different vulnerabilities is clear. For instance, very high and high vulnerability sub-locations are concentrated in the central zone of the city. This changes to moderate vulnerability spreading to the east and south with a narrow belt extending westwards. However, the northern and western sublocations reveal low vulnerability.

This zonal vulnerability compares with the documented historical segregated approach to the city's planning. The eastern side of the city was low-income African neighbourhoods and the northern and western sides of the city were high income, low-density white settlements. This segregation has played a significant role in increasing the city's biophysical vulnerability in a changing climate. Informal settlements and formal low-income sub-locations like Korogocho, Kibra, Huruma, Laini Saba, Mathare, and Lindi manifest top levels of vulnerability to biophysical characteristics. This emanates from the very high population density that exposes the residents to climate risks in two aspects. First is the high population that becomes vulnerable in case of hazards such as flooding. Second is the high densities that translate to high urban heat island and surface sealing that increase heat and flood

vulnerability, respectively. This is at pace with the poorly drained soils that increase runoff and lack of adequate tree cover to improve local temperatures.

High-income sub-locations like Karen, Hardy, Garden, Kyuna, and Muthaiga manifests low biophysical vulnerability because of the low density of built-up areas and large swathes of open and green spaces that provide resilience attributes such as temperature regulation and percolation of stormwater. The neighbourhoods also have welldrained soils and slopes above 16% both of which ensure good drainage of stormwater.

CONCLUSION AND RECOMMENDATION

These findings support the argument by Satterthwaite, Huq, Pelling, Reid, & Lankao (2007) who classify the urban poor high among the vulnerable urban populations that require urgent action. Similarly, the multifaceted nature of their vulnerability as discussed by Carmin & Zhang (2009) is evidence in Nairobi where the urban poor inhabit locations at risk due to multiple parameters such as soil drainage properties, slopes percentages, and landcover types. Therefore, to achieve urban climate resilience, urban planning and development in Nairobi must be sensitive to biophysical parameters like the nature and distribution of open spaces, types of vegetation, soil types and density of development, especially in the informal and lowincome neighbourhoods (Figure 22).

Figure 22: Sample adaptation approaches in high-density neighbourhoods and informal settlements in Kibra sub-location



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Development of new neighbourhoods, urban renewal and regeneration projects such as the proposed Eastlands Urban Renewal Project must consider biophysical characteristics and their role in climate change resilience. For instance, the highdensity development can be concentrated in the poorly drained soils and the green open spaces located on the well-drained deep soils. This would aid in the proper management of stormwater runoff.

Some of the ecosystem-based adaptation approaches such as river re-naturalization, green infrastructure and green corridors proposed by Geneletti & Zardo (2016) and Scarano (2017) are ideal for application in Nairobi city as they deal with both biophysical and socio-economic aspects. Their downscaled implementation can include decentralization of smaller green spaces in highdensity sub-locations such as Umoja and Kibra, redesign of road medians for water management, re-specification of street vegetation species to incorporate a mix of deciduous and evergreen trees and the incorporation of eco-roofs and walls in high-density developments like the Central Business District (*Figure 23*).



Figure 23: Climate change adaptation within a section of the Nairobi CBD

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Further studies on biophysical vulnerability in Nairobi City should incorporate the parameters of dwelling types and materials.

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